CATERPILLAR CHROME PLATE REPLACEMENT TECHNOLOGY

Otto J. Rajtora June 16, 2010



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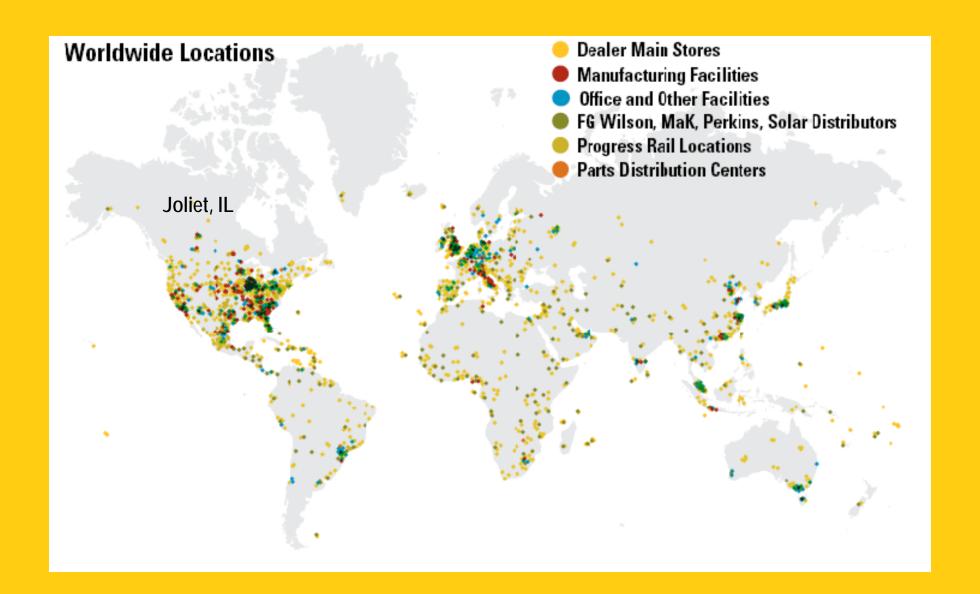
Report Documentation Page

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CATERPILLAR IS... MAKING PROGRESS POSSIBLE

- World's largest manufacturer of construction and mining equipment, diesel and natural gas engines and industrial gas turbines
- Technology leader in construction, transportation, mining, forestry, energy, logistics and electric power generation
- #1 or #2 for each industry we serve with a 2010 goal of market leadership for every major product group on every continent







CATERPILLAR IS... MAKING PROGRESS POSSIBLE

- The most technologically advanced products and services
- A passion for exceeding our customer's expectations
- A long history of financial strength



Most important ... a global team of more than 240,000 talented individuals—including employees, dealers and suppliers—committed to enabling progress and opportunity.



Product Range

We will meet the challenges we face by helping customers meet the challenges frey face. From massive to mini, world-class Cat* machines and engines match versatility and range with performance and productivity to meet virtually any need.

CAT 797B Mining Truck

CAT D11T Track-Type Tractor

| CAT 216B Series 2 Skid Steer Loader





"...we strive to make the intelligent choices that will allow both our company and our planet to prosper.

Ultimately, we believe the sustainability of our world and the sustainability of our business are inseparable."

Jim Owens, Chairman and CEO Caterpillar Inc.



Sustainable Manufacturing in Action:

Cat® Advanced Surfacing Technology (AST)





What is AST?

 Caterpillar developed HVOF Coating and Finishing Technology for Chrome Plate Replacement

- <u>H</u> igh
- Velocity
- Oxygen
- F uel





What is HVOF?

- HVOF is a process which applies a flame sprayed coating to a special steel to create a very durable and smooth coating without the use of toxic chemicals.
- HVOF is an effective replacement for a process known as Hard Chrome Plating.



Chrome Plating used Hexavalent-Chrome, which is very toxic and a known carcinogen







In 1999, Chrome Plating consumed 52,000+ pounds of Chromic Acid at Joliet.





The plant consumed over 1.3Million KwH of electricity per year just for chrome deposition, enough to power 126 Homes!!





The process used 14,000,000 gallons of water a year





Before AST: Waste Treatment

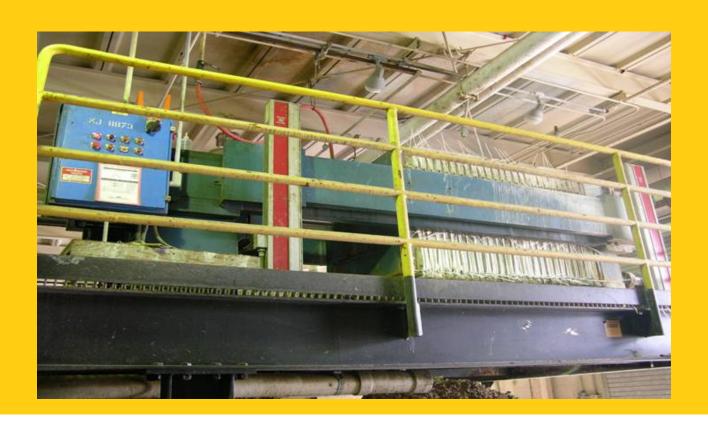
Chroming required 12,000,000 gallons of water a year to be treated on site





Before AST: Waste Treatment

Hex-Crome is a hazardous air pollutant that must be removed from emissions





Before AST: Waste Streams

727,000 Pounds of Solid Hazardous Waste was generated in 1999 alone!

That's equal to almost nine Cat® 740 Trucks







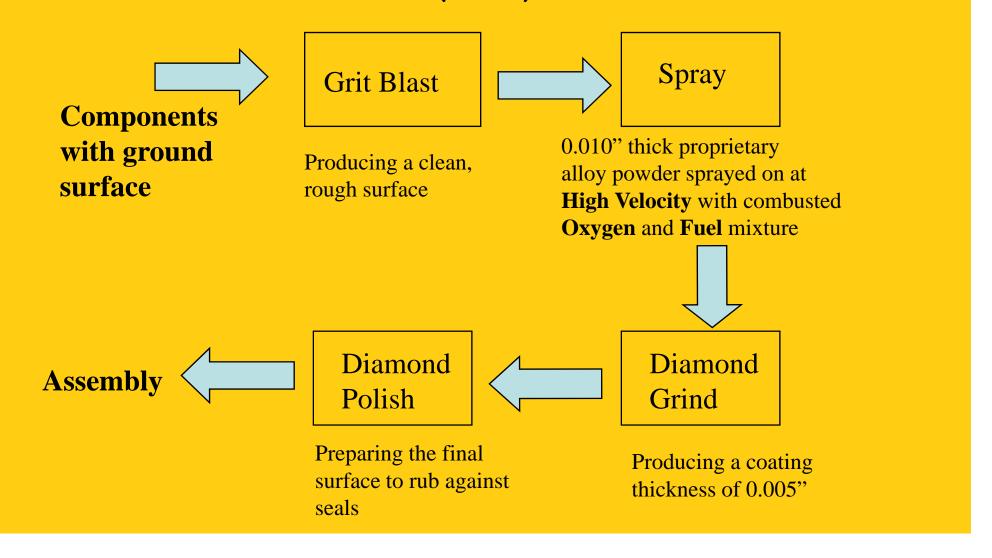
The AST Process:

Replacing Chrome





AST Process – HIGH VELOCITY OXYGEN FUEL (HVOF) COATING and FINISHING





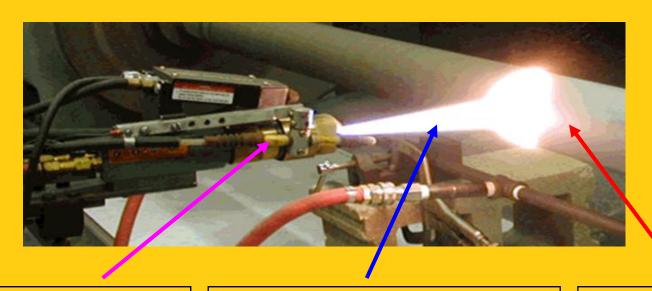
AST: HVOF Coating Load/Unload Station



4 m between centers, 0.8 m swing, 2300 kg



HVOF Spray Process



INPUTS:

- •Air
- Nitrogen
- •Fuel (Propylene, Methane, Kerosene)
- Oxygen
- •HVOF Powder Flow

HVOF COATING PARAMETERS:

- Particle Velocity
- Particle Temperature
- Particle Flux

OUTPUT:

Dense HVOF Coating

(~1000 Knoop)



AST: HVOF Spray Completed





AST: Finish Grinding





AST: After Finishing





AST: Field Application





AST: Field Application (100 Ton Front Strut @ Ky)





AST: Field Application

(100 Ton Rear Strut, @ Ky)





AST vs. Chrome Plate:

950F WHEEL LOADER LIFT CYLINDER RODS (In a Mexican Salt Mine)

AST has much superior corrosion resistance

Chrome Plate @ 682 hrs.



AST Coating @ 3,200 hrs.





AST vs. Chrome Plate: A Win-Win for all

HVOF Coating

- RC 90 Hardness
- Generates No Hazardous Waste
- 200+% Seal Life
- Superior Corrosion Resistance

— Chrome Plate

- RC 68 Hardness
- Process GeneratesExtensive HazardousWaste
- Seals Degrade
- Cracked layer allows

 Corrosion to undercut
 coating



AST: Reduced Impact NEAR ZERO IMPACT

— ZERO Toxic airborne emmisions

<u>ZERO</u> Gallons of effluent released to the Des PlainesRiver



— <u>ZERO</u> Pounds of Hazardous waste shipped to landfills

AST reduces Caterpillar Joliet's Total Hazardous waste by <u>85%</u>



AST: Safer

Minimizes a hazardous air pollutant

Reduced the number hazardous chemicals on site





AST: Better Quality

- Increased hardness
- 4x or more increase in wear resistance
- 2x improvement in seal life



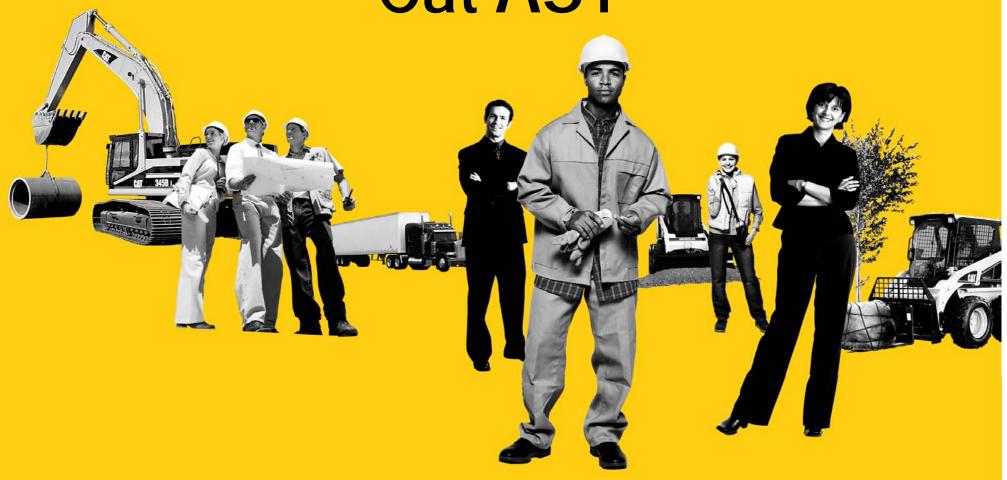


Caterpillar continues to demonstrate that a strong focus on social responsibility and sustaining the environment contributes to delivering strong financial results and creates profitable growth opportunities.



Sustainable Manufacturing in Action:

Cat AST



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CATERPILLAR®
TODAY'S WORK, TOMORROW'S WORLD.**

Caterpillar Remanufacturing

June 15-16, 2010





Who is Cat Remanufacturing?

- We are the global leader in providing differentiated and integrated Reman and Life Cycle products and services to our customers.
- We leverage and continuously improve our capabilities to be: :
 - The partner of choice for Customers-Cat & Non-Cat
 - The industry leader in applying Technology
 - The recognized leader in Sustainable Development
 - The industry leader in Safety, Quality, Velocity, and Cost.





Resources

- 37 years of experience
- 18 facilities
- 2.4M sq ft of manufacturing
- 4,000 employees dedicated to reman
- Leverage Cat R&D spend

Our Global Footprint...

















Products

- 2.3M units (139M lbs) recovered
- +6000 different products
- Full line servicing Cat engines & machines
- Remanufacturing & Sustainable Solution services for 3rd party OEMs
 - Auto, truck, rail, industrial, military



Services We Provide...

Dealer Channel Services Used Parts Marketing

Marketing
Product Engineering
Pricing

New Product Intro.
Reman Strategy
Customer Service
Obsolescence Mgmt
Production Transition

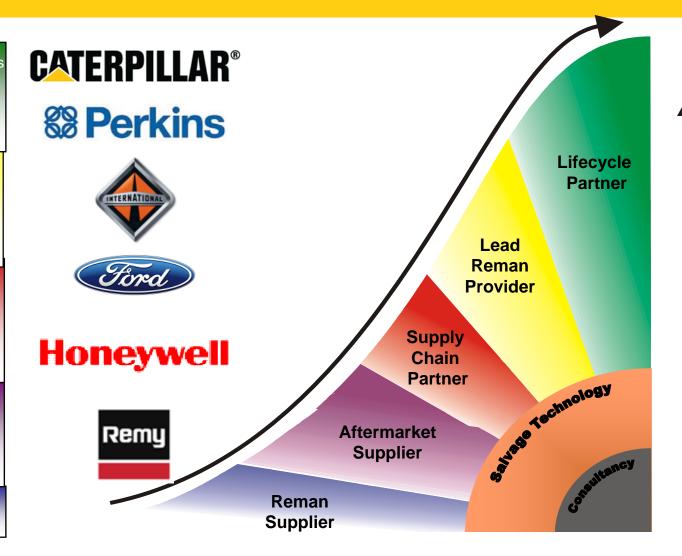
Core Processing
Core Purchase
Transportation

Packaging Design
Customs
Core Criteria

New / Reman Order Processing Procurement

Supplier Development Kitting

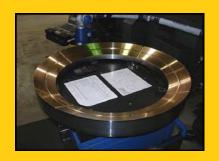
Reman Supplier Contract Reman



CATERPILLAR®
TODAY'S WORK. TOMORROW'S WORLD.™

From Supplier to Lifecycle Management Partner

Typical Non-Caterpillar Reman Products...



Dragline thrust washer

Misc. shafts



NASA Valve



Screw shaft



Spool shaft



Splined shaft



Stabilizer



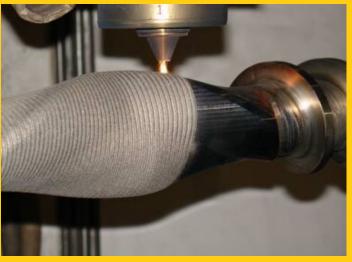
Rotor



Technology in Process...







HOVF
Recasting
Bore Spray
Laser Cladding





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